



PERCORSI DI TRANSIZIONE PER LE CITTA' ED I TERRITORI URBAN TRANSITION PATHWAYS



Valutazione e monitoraggio dello stato della pianificazione climatica a scala locale: La EURO-LCP Initiative

Assessing and Monitoring the Status of Climate Planning at the Local Scale: The EURO-LCP Initiative



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In collaboration with about 40 European researchers:

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#### Bergamo, 30 Novembre 2022

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### The research framework

Cities are major contributors to climate change:

- They consume 78% of the world's energy and
- produce more than 60% of GHG
  emissions UN Habitat



**CITIES** 

The high rate of urbanisation of Europe -**74%** of its population living in urban areas in 2018 (UN, 2018) - makes cities highly vulnerable to climatic threats, such as heat waves, droughts, flash floods, etc.

"Cities are as vulnerable as they are powerful" (C40 Leadership network)

Cities and urban areas are crucial actors in climate change mitigation and adaptation.







- How European cities are taking action to address the climate crisis?
- Are the climate mitigation targets set by cities ambitious enough to ensure carbon neutrality by 2050?

- To what extent are cities in Europe prepared for the increasing risks posed by

climate change?

How can climate planning be more effective, adequate, ambitious, integrated? Which sectors are efficiently addressed and which are neglected, missing to contribute to climate preparedness? How can account for trade-off, respect outcomes on different social groups? How can planning and policy monitor and learn from previous local plans and their implementation?



## **The Euro LCP Initiative**

#### The EURO-LCP Initiative

Assessing the State of Local Climate Planning in European Cities: Updates of Local Climate Plans conducted by a scholarly team of around 40 researchers across 28 European countries on as much as 885 European cities



It assesses the state of local climate planning in Europe since 2013. It originated in the framework of the COST Action TU0902 (2009-2013)



It studies the state, quality, adequacy, progress, and effectiveness of local climate planning and disseminates its findings

The main goal is to advance the implementation of climate actions and minimize maladaptation and malmitigation for wider urban resiliency and sustainability in Europe.



The City statistics database (Urban Audit)



# How cities respond to climate change?

Studies conducted on the assessment of Local Climate Plans (mitigation & adaptation)

0	200 cities in 11 EU countries tion and adaptation	Mitiga	885 cities in 28 EU countries tion and adaptation	<b>A</b> itig	<b>327 cities in 28 EU</b> countries ation	Ada	327 cities in 28 EU countries Work in progress		
Availability & content analysis of LCPs Focus on drivers and barriers		Framework of LCP types Availability of LCPs Focus on dedicated versus mainstreaming strategies			tent analysis us on MED countries	Content analysis Vulnerability, Adaptation measures Quality of plan Focus on MED countries			
1									
Į.	<sup>st</sup> assessment 2013		<sup>d</sup> assessment 2016	3 <sup>rd</sup> o	assessment 2018-2020	4 <sup>th</sup> c	assessment 2020-2021		



# The 1<sup>st</sup> assessment of LCPs (2013)



### 200 Urban Audit in 11 countries (17% of the pop EU-27)

#### Database with 120 variables per city:

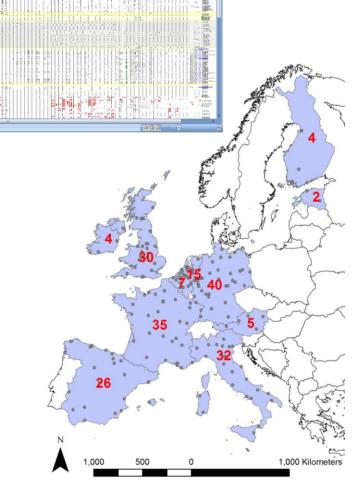
- LCPs & content
- Membership in international climate networks
- Socio-economic data & Natural aspects



#### LCP selection:

- Planning and strategic policy documents targeting the entire city area
- Tackling climate change mitigation and/or adaptation
- Published as one document; no single actions

**Reckien, D. et al.** (2014) Climate change response in Europe: what's the reality? Analysis of adaptation and mitigation plans from 200 urban areas in 11 countries. Climatic Change, 122 (1-2): 331-340. doi: 10.1007/s10584-013-0989-8





# The 1<sup>st</sup> assessment of LCPs (2013)



200 Urban Audit in 11 countries

Existence, content, drivers & barriers of Local Climate Plans (LCPs)

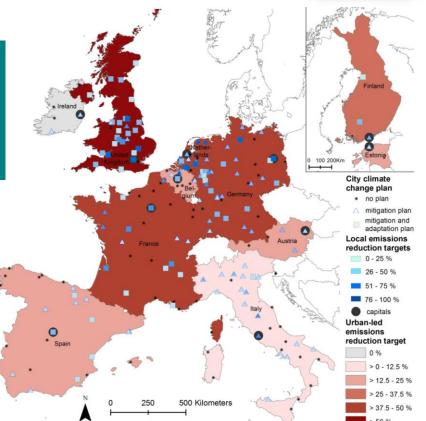
Urban Audit Cities		with:						
		Mitigation plan		Adapta	ation plan	Joint mitigation & adaptation plans		
	Cities			1 toup a	inon pran			
in country	[N]	Ν	[%]	Ν	[%]	N	[%]	
Austria	5	3	60.0	0	0.0	0	0.0	
Belgium	7	3	42.3	1	14.3	0	0.0	
Estonia	2	1	50.0	0	0.0	0	0.0	
Finland	4	3	75.0	2	50.0	2	50.0	
France	35	15	42.9	8	22.9	6	17.1	
Germany	40	32	80.0	13	32.5	6	15.0	
Ireland	4	2	50.0	0	0.0	0	0.0	
Italy	32	18	56.3	1	3.1	0	0.0	
Netherlands	15	12	80.0	3	20.0	2	13.3	
Spain	26	13	50.0	5	19.2	3	11.5	
United Kingdom	30	28	93.3	24	80.0	24	80.0	
TOTAL	200	130	65.0	57	28.5	43	21.5	

→Adaptation plans: rather "comprehensive" and vague, not concrete

 $\rightarrow$  Mitigation plans: rather sectoral

 → 65% of cities have a mitigation LCP;
 → 29% adaptation LCP;
 → 22% joint LCPs Reckien, D., et al. (2014). <u>Climate</u> <u>change response in Europe: What's the</u> <u>reality? Analysis of adaptation and</u> <u>mitigation plans from 200 urban areas</u> <u>in 11 countries</u>. Climatic Change. Doi: 10.1007/s10584-013-0989-8







# The 1<sup>st</sup> assessment of LCPs (2013)



### 200 Urban Audit in 11 countries



#### Climatic Change (2014) 122:331-340 DOI 10.1007/s10584-013-0989-8

Climate change response in Europe: what's the reality? Analysis of adaptation and mitigation plans from 200 urban areas in 11 countries

D. Reckien · J. Flacke · R. J. Dawson · O. Heidrich · M. Olazabal · A. Foley · J. J.-P. Hamann · H. Orru · M. Salvia · S. De Gregorio Hurtado · D. Geneletti · F. Pietrapertosa

Received: 19 June 2013 / Accepted: 15 October 2013 / Published online: 23 November 2013 © Springer Science+Business Media Dordrecht 2013

Abstract Urban areas are pivotal to global adaptation and mitigation efforts. But how do cities actually perform in terms of climate change response? This study sheds light on the state of urban climate change adaptation and mitigation planning across Europe. Europe is an excellent test case given its advanced environmental policies and high urbanization. We performed a detailed analysis of 200 large and medium-sized cities across 11 European countries and analysed the cities' climate change adaptation and mitigation plans. We

Electronic supplementary material The online version of this article (doi:10.1007/s10584-013-0989-8) contains supplementary material, which is available to authorized users. D. Reckien (C-2)

Center for Research on Environmental Decisions, Columbia University, 406 Schermerhorn Hall-MC5501.

Reckien, D., et al. (2014). <u>Climate change response in Europe:</u> What's the reality? Analysis of adaptation and mitigation plans from 200 urban areas in 11 countries. Climatic Change, DOI: 10.1007/s10584-013-0989-8

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Heidrich, O., et al. (2016). <u>National climate</u> policies across Europe and their impacts on cities <u>strategies</u>. Journal of Environmental Management, 168, 36–45.



De Gregorio Hurtado, S. et al. (2014). <u>Multi-level climate</u> <u>governance and urban climate action</u>. In R. J. Dawson, A. Wyckmans, O. Heidrich, J. Köhler, S. Dobson, & E. Feliú (Eds.), Understanding Cities: Advances in integrated assessment of urban sustainability (pp. 77–88). Centre for Earth Systems Engineering Research (CESER).



De Gregorio Hurtado, S., et al. (2014). <u>Implications of</u> governance structures on urban climate action: Evidence from Italy and Spain (No. 2014–02; BC3 Working Paper Series, p. 47). BC3 Basque Centre for Climate Change

Olazabal, M., et al. (2014). <u>How are Italian and Spanish</u> <u>Cities tackling climate change? A local comparative study</u> (BC3 Working Paper Series). Basque Centre for Climate Change, BC3.



De Gregorio Hurtado, et al. (2015). Understanding how and why cities engage with climate policy. An analysis of local climate action in Spain and Italy. Tema. Journal of Land Use, Mobility and Environment, 8. 11/2015. 23-46.





### 885 UA core cities in EU-27+UK

2<sup>nd</sup> assessment 2016

Existence, framework of types of LCPs Dedicated versus mainstreamed strategies

Тур	ology of LCPs	Dedicated LCP	Horizontal	Vertical									
	Integration with or placement within the existing local policy documents												
L L	Spatial dimension	Comprehensive and stand-	Main-streamed	Partial sources	<b>Operational (D)</b>	Related (E)	Areal (F)						
80		alone (A)	and inclusive (B)	and sectoral									
trigger				impacts (C)									
of LCP	Autonomous (1)	A1 – Autonomous LCAPs	B – Climate	C – LCAP	<b>D</b> – LCAP for parts	E – Plan with	<b>F</b> –						
fΓ			action in	addressing	of municipal	relevance to the	LCAP for						
	National regulation	A2 – Legally required	sustainability	particular	operations, such as	climate issue, e.g.	parts of						
eve Ne	(2)	LCAP	plan, resilience	sectors (e.g.	universities,	municipal	a city/						
l le	Internationally	A3 – International climate	plan,	energy) or	schools, etc., e.g.	emergency plan,	urban						
tia	induced (3)	networks, such as	development/	particular	carbon	disaster risk	area.						
Spatial level		Covenant of Mayors,	master plan,	impacts (e.g.	management plan	reduction plan, civil							
		Compact of Mayors, e.g.	core strategy	heat, flooding)	in the UK	protection plan							
		SEAP, SECAP											

Mainstreamed LCP

Sectoral alignment or types of integration in existing local policy frameworks



Are climate change issues in European cities addressed by way of dedicated or mainstreamed LCPs? Can the resulting patterns be related to climate policies at the European, national and regional levels?



# The 2<sup>nd</sup> assessment of LCPs (2016/2017)



A. Comprehe

and stand-al

Plan addressi climate chang

which mitigat and/or

DEDICATED LCP

### 885 UA core cities in EU-27+UK

2<sup>nd</sup> assessment 2016

A1/ A	A1/ A2/ A3		Mitigation plans		Adaptation plans		Joint plans		No plans	
		N	N	%	N	%	N	%	N	%
A1 pl	ans (24 countries)	612	224	36.6	69	11.3	19	3.1	372	60.8
A2 pl	ans (4 countries)	273	174	63.7	154	56.4	125	45.8	88	32.2
-	ans in cities w/o A1/ A2 (28 countries)	460	188	40.9	3	0.0	1	0.0	288	62.6
	3 plans, i.e. in cities with hout A1/ A2 plans (28 ries)	885	333	37.6	103	11.6	10	1.1	552	62.4
	ties with A1, A2 or A3 (sum of lines 1-3)	885	586	66.2	226	25.5	145	16.4	288	32.5

\*Denmark, France, Slovakia, UK

#### adaptation are mentioned in the title or as a motivation in the introduction, e.g. Local Climate Mitigation and/or Adaptation Plan

A.1 Autonomously developed by the urban authority/ administration

A.2 Legally required by the national regulation

A.3 Internationally induced by international urban climate networks

#### EU-28 A1 & A2 & A3:

 $\rightarrow$  Difference between non/obligatory LCPs:

 $\rightarrow$  ~66% of cities have mitigation, ~26% adaptation, ~16% joint plans  $\rightarrow$  Large diversity across EU; most plans in Central & Northern EU

~\*2 for mitigation, \*5 for adaptation, but there is "threshold effect"

F. Pietrapertosa, M Salvia et al. – Bergamo, 30 Nov. 2022

 $\rightarrow$  Climate networks important for information exchange

Cleaner Production Reckien et al. (2018). How are cities planning to respond to climate change? Assessment of local climate plans from 885 cities and towns in EU-28 countries. Journal of Cleaner Production.



José María Sarriegi "Major Catastrophe Research" Award (1<sup>st</sup> edition - 2018)

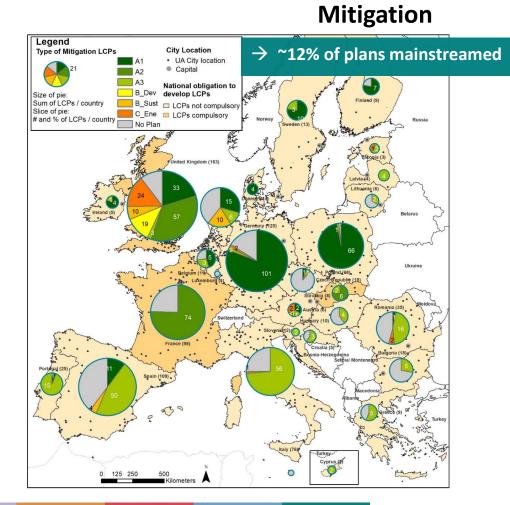


# The 2<sup>nd</sup> assessment of LCPs (2016/2017)

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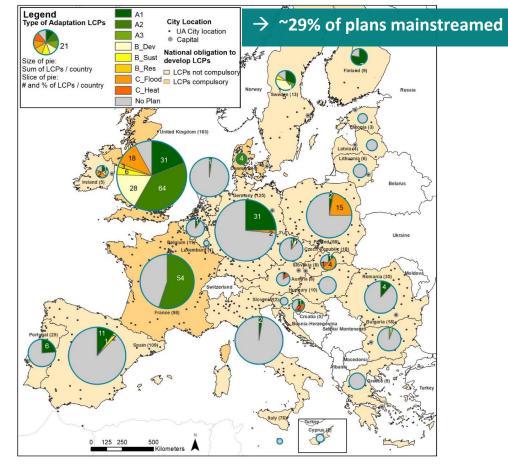
### 885 UA core cities in EU-27+UK Dedicated versus mainstreamed strategies

Reckien et al.(2019). Dedicated versus mainstreaming approaches in local climate plans in Europe. RSER doi: 10.1016/j.rser.2019.05.014



2<sup>nd</sup> assessment 2016

#### Adaptation



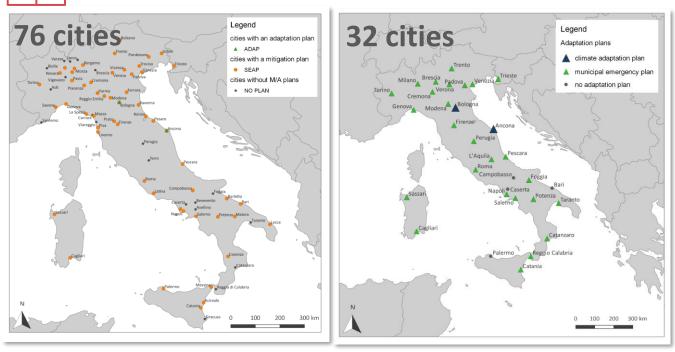
F. Pietrapertosa, M Salvia et al. – Bergamo, 30 Nov. 2022

#### $\rightarrow$ When adapting cities decide three times more for mainstreaming



## 2<sup>nd</sup> assessment 2016 The 2<sup>nd</sup> assessment of LCPs (2016/2017)

## 76 UA core cities (32 smaller sample) in Italy



 →~74% of cities have mitigation, ~3% adaptation, ~0% joint plans →81% of the city sample has a Municipal Emergency Plan (Civil Protection) - Level 3 plan

- The research shows a positive trend on urban climate change action in Italy
- Italian cities are more focused on mitigation
  than to adaptation
- Transnational networks have a crucial role in boosting climate planning in cities
- Cities need to adopt a more holistic
  approach in dealing with climate change
- More technical and financial resources are necessary to boost local climate actions

F. Pietrapertosa, M. Salvia et al. (2019) **Urban climate change mitigation and adaptation planning: Are Italian cities ready?** Cities. Doi: <u>10.1016/j.cities.2018.11.009</u>





# The 3<sup>rd</sup> assessment of LCPs (2018/2020)



327 UA cities in EU-27+UK

3<sup>rd</sup> assessment 2018-2020

**Contents analysis of mitigation plans.** 

254 (78%) of the 327 cities have a M-LCP with targets (avg. 47% GHG reduction)

• 73 (22%) of them do not have a plan or have a plan without targets

81 cities (25% of the total sample – 327 cities) are striving for <u>carbon neutrality</u>:

- When?
  - **59 cities (73%) by 2050** (on average by 2045)
- Where in Europe?
  - 33.3% of German cities in the sample
  - UK (13.6%), the Netherlands (11.1%) and France (9.9%)
  - All the Danish cities in the sample (100%)
- Which size of cities?
  - 60.5% of cities with 100,000 500,000 inhab.



• **90%** of cities striving for carbon neutrality are **members of a** 

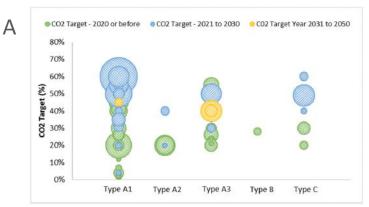
#### climate network.

• Ambition is driven by city size, climate networks, M-A combination, local motivation.

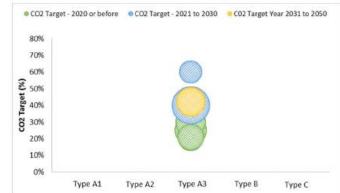


B

Salvia, M. *et al.* (**2021**). <u>Will climate</u> <u>mitigation ambitions lead to carbon</u> <u>neutrality? An analysis of the local-level</u> <u>plans of 327 cities in the EU</u>. RSER. Doi: <u>10.1016/j.rser.2020.110253</u>



Distribution of M-LCPs across their type, target (% of CO2) and timeline in Northern (A) and Southern (B) Europe





# The 3<sup>rd</sup> assessment of LCPs (2018/2020)



73 UA core cities and 51 regions in 9 MED countries

Methods 🗶 Results

**Contents analysis of mitigation plans.** 

3<sup>rd</sup> assessment 2018-2020

# Context 🛐

In Mediterranean Europe, regions share common characteristics in terms of capacities, resources and high sensitivity to climate change impacts



- The paper investigates the main patterns of regional and city level mitigation planning
- It identifies convergences and divergences of their planning practice

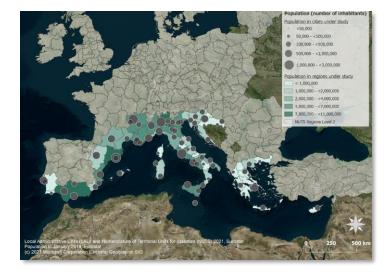
Analysis of mitigation plans of 51 regions and 73 cities in Mediterranean Europe (ME) by investigating:

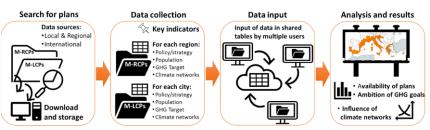


- What is the availability of local & regional mitigation plans?
- What are the short/longterm Greenhouse Gases emission reduction targets?
- What is the role of transnational climate networks?

### The main findings of this research are:

- **Uneven progress,** with West-East divide and a generally modest short-term ambition
- Larger Mediterranean (ME) regions and cities show higher climate action
- Mitigation planning is affected by national regulation and transnational networks
- There is a case for crossborder cooperation among ME regions and cities







Salvia, M. *et al.* (2021). <u>Climate</u> <u>mitigation in the Mediterranean</u> <u>Europe: An assessment of regional</u> <u>and city-level plans</u>. JEMA, doi: <u>10.1016/j.jenvman.2021.113146</u>



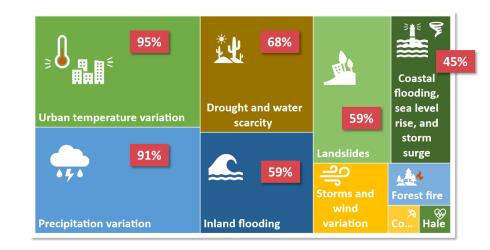
# The 4<sup>th</sup> assessment of LCPs (2020/2021)

# 327 UA core cities in EU-27+UK

**Contents analysis of adaptation plans.** 



#### 73 UA core cities in 9 MED countries



## 30% (22 out of 73) of the cities have adopted a LCAP





# Out of 327 cities, **167 cities have an** adaptation plan.

We assess the progress in plan quality across the years 2005-2020.

Web application to assess the quality of adaptation plans based on the quality





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CC Scoring Tool



### **Directions of Future Research**

...To update this work at regular intervals to map, observe and compare the evolution of local climate planning in European countries over time.

...To provide scholars, decision-makers and stakeholders with an up-to-date dataset on LCPs and a critical analysis of current trends.

### **NEXT STUDIES**

- Analysis of adaptation plans across European subregions
- Analysis of the trend of climate planning in the last 10 years
- Trade-off between LCPs and the Climate Emergency Declarations

#### Valutazione e monitoraggio dello stato della pianificazione climatica a scala locale:

#### La EURO-LCP Initiative



Dr. Filomena Pietrapertosa Institute of Methodologies for Environmental Analysis, National Research Council of Italy



## **Grazie per l'attenzione!**



https://www.lcp-initiative.eu/